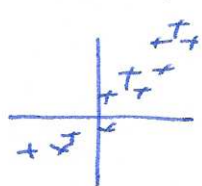


Algebraic topology and applications

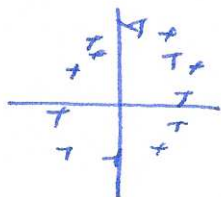
①

Motivation (application) data, collection of points. $X = \{x_i\}_{i=1}^n \subseteq \mathbb{R}^d$. n, d large.

basic example ($X \subseteq \mathbb{R}^2$).



← looks linear, try linear regression



← looks circular, try best fit circle.

general case $X \subseteq \mathbb{R}^d$ Q: can we find the shape, without knowing how to plot it?

A: yes, (sometimes) using persistent homology ← show example in R.

Aim of this course: cover mathematical background so we can understand output.

Algebraic topology: study topological spaces via algebraic invariants.

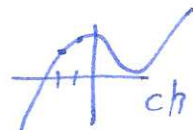
spaces: $\mathbb{R}^n$, S^1, S^n , surface S^2 , torus , double torus , ...

invariants: # of connected components, Euler characteristic, fundamental group, homology...

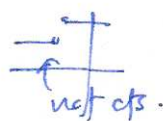
Topological spaces and continuous maps

recall: a map $f: \mathbb{R} \rightarrow \mathbb{R}$ is continuous if for all $\epsilon > 0$ there is a $\delta > 0$ s.t. if $|x-y| < \delta$ then $|f(x)-f(y)| < \epsilon$.

$\begin{matrix} \mathbb{R} & \xrightarrow{f} & \mathbb{R} \end{matrix}$



Q: how can we generalize this to more general spaces?



Defn (X, d) is a metric space if X is a set, and $d: X \times X \rightarrow \mathbb{R}_{\geq 0}$

is a distance function, i.e. for all $x \in X$, $d(x, x) = 0$

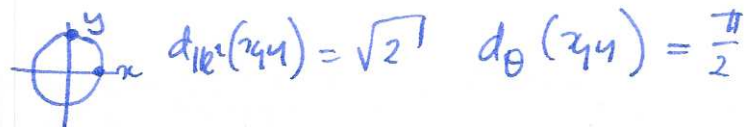
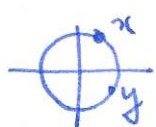
• for all $x, y \in X$, $d(x, y) = d(y, x)$

• for all $x, y, z \in X$, $d(x, y) + d(y, z) \geq d(x, z)$ (triangle inequality)

Examples ① $(\mathbb{R}^n, d_E)$ Euclidean metric $d(x, y) = \sqrt{(x_1 - y_1)^2 + \dots + (x_n - y_n)^2}$

② any subset of a metric space w/ restriction metric.

take $S^1 \subseteq \mathbb{R}^2$, $x^2 + y^2 = 1$ warning this is not the angular metric as θ !

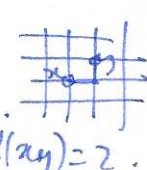


③ any graph with the induced path metric in which every edge has length 1. ②

Defn A graph X consists of: a set of vertices $\{x_0, x_1, \dots\}$.
a set of edges $\{(x_i, x_j), \dots\}$.

Examples 

Induced path metric for each edge (x_i, x_j) identify this with unit interval $[0, 1]$ with Euclidean metric $\frac{s}{t}$ $d(s, t) = |t - s|$.

for any two points x, y , consider all paths from x to y , and choose shortest one. 
 $d(x, y) = 2$.

Defn A map $f: (X, d_X) \rightarrow (Y, d_Y)$ is continuous if for all $\epsilon > 0$ there is a $\delta > 0$ such that if $|x - y| < \delta$ then $|f(x) - f(y)| < \epsilon$.

Q: how can we generalise this to more general spaces?

Defn A topological space X (or (X, T)) is a set X , together with a ~~set~~ ^{collection} of subsets $T \subseteq P(X) \leftarrow$ power set of X , i.e. all subsets, called the open sets, with the following properties:

- $\emptyset, X \in T$
- finite intersections: if $U_1, \dots, U_n \in T$, then $U_1 \cap \dots \cap U_n \in T$.
- arbitrary unions: if $X_\alpha \in T$ then $\bigcup_{\alpha \in A} X_\alpha \in T$.

Defn A map $f: X \rightarrow Y$ (or $f: (X, T_X) \rightarrow (Y, T_Y)$) is continuous if for all open $U \in T_Y$ (or open $U \subseteq Y$) $f^{-1}(U)$ is open in X , i.e. $f^{-1}(U) \in T_X$ $f^{-1}(U) \subseteq X$ open.

Defn Let (X, T) be a topological space $B \subseteq T$ is a basis for the topology, if every $U \in T$ is a union of sets in B .

Example ① Topology on $\mathbb{R}$: choose $B =$ set of all open intervals (a, b) .
so $(\mathbb{R}, T)$ $T =$ sets which are (arbitrary) union of open intervals $\frac{(a, b)}{a \quad b}$.

consequence if $U \subseteq \mathbb{R}$ is open and $x \in U$, then there is an open interval $x \in (a, b) \subseteq U$.

② Topology on (X, d_X) set $B =$ open balls $B(x, r) = \{y \in X \mid d_X(x, y) < r\}$.

Note. this gives same topology on $\mathbb{R}$ with standard metric $d_X(x,y) = |x-y|$. ③

$f: (X, d_X) \rightarrow (Y, d_Y)$ is cb with metric defn iff cb w/ topological definition.

② restriction / subset topology $Y \subseteq (X, T_X)$ Defn $U \subseteq Y$ is open if there is an open set $V \subseteq X$ s.t. $U = Y \cap V$.

Example $S^1 \subseteq \mathbb{R}^2$
 $\mathbb{R} \subseteq \mathbb{R}$



④ quotient topology. (X, T_X) topological space, $\sim$ an equivalence relation on X set $\bar{X} = X/\sim$ set of equivalence classes. Defn $T_{\bar{X}}$ by Let $q: X \rightarrow \bar{X}$ be the map that sends $x \mapsto [x]$. Defn $U \in T_{\bar{X}}$ is open if $q^{-1}(U)$ is open in X .

Example unit interval $I = [0,1]$  $0 \sim 1$
 $x \sim x$ for all x .

claim $I/\sim = S^1$.  Example $\mathbb{R}P^n = S^n / \text{antipodal map}$. • Cone CX
• suspension SX

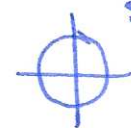
⑤ discrete topology (X, T_X) where $T_X = P(X)$ $\leftarrow$ natural topology on discrete set of points $\dots \subseteq \mathbb{R}^n$.
indiscrete topology $(X, \{\emptyset, X\})$.

Q: when are two topological spaces equivalent?

Defn X, Y are homeomorphic if there is a bijection $f: X \rightarrow Y$ s.t. both f and f^{-1} are continuous.

Warning need both f, f^{-1} cb, example $f: (X, T_{\text{discrete}}) \rightarrow (X, \{\emptyset, X\})$ discrete indiscrete
 $f = \text{id}_X$.

Observation when is $f: X \rightarrow Y$ cb? suffices to pick basis set $B \subseteq Y$ and gen
 show for any $x \in f^{-1}(B)$ there is a haus set $A \subseteq X$ s.t. $x \in A \subseteq f^{-1}(B)$.

Example S^1 :  $S^1 \subseteq \mathbb{R}^2$
 • restriction topology
 • topology from restriction metric
 • topology from angular metric
 } Exercise show these are all homeomorphic.
 • $I = [0,1] / \sim$ $0 \sim 1$ $x \sim x$ $\leftarrow$ this is also S^1 . • $S^1 / \text{antipodal map}$.

More examples • product topology on $X \times Y \leftarrow$ as a set just cartesian product ④
 $X \times Y = \{(x, y) | x \in X, y \in Y\}$ A basis for the product topology is given by all sets of the form $U \times V$, U open in X , V open in Y . Suffices to choose U, V to be basis sets for bases of U and V .

Exercise • show the product topology on $\mathbb{R}^2 = \mathbb{R} \times \mathbb{R}$ is the same as the topology induced by the standard Euclidean metric.

Exercise • show the restriction topology $\mathbb{R} \subset \mathbb{R} \times \mathbb{R}$ is the same as the original topology.

• show the projection maps $p_X: X \times Y \rightarrow X$, $p_Y: X \times Y \rightarrow Y$ are continuous.

Quotients • Let $S^n \subset \mathbb{R}^{n+1}$, there is an antipodal map $x \mapsto -x$. The quotient is called $\mathbb{R}P^n$. Draw pictures for $n=1, 2$.

• Wedge products/joins. $(X, x_0) \vee (Y, y_0)$ is $X \sqcup Y / \sim$ where the equivalence relation is: $x_0 \sim y_0$, all other points just equivalent to themselves.

Examples draw pictures of $S^1 \vee S^1$, $S^2 \vee S^1$, $S^2 \vee S^1$, $(S^1 \times S^1) \vee S^1$, $(S^1 \times S^1) \vee (S^1 \times S^1)$ and same glue sets.

• Gluing: let $A \subset X$ and $B \subset Y$, and $f: A \rightarrow B$. Then let $\sim$ be $x \sim f(x)$ for all $x \in A$, $\sim$ glued together by f , i.e. $X \sqcup Y / \sim$ equivalence relation is $a \sim f(a)$ for all $a \in A$, ~~no other eq~~ ^{all other equivalence} classes just consist of one point. EX

Examples take $I = [0, 1]$, two copies I and glue 0 to $0'$. — show result is homeo to $[0, 1]$.

take: $X_1 = \bigcirc$ and glue circles together: $\bigcirc \rightarrow \bigcirc$

• Self gluing, can choose $A, B \subset X$ and $f: A \rightarrow B$, can construct $X / \sim$ where equivalence relation is $a \sim f(a)$, ~~no other eq~~ ^{all other equivalence} classes just consist of one point.

Examples $[0, 1] / 0 \sim 1$. $S^1 \times I$, $f: S^1 \times 0 \rightarrow S^1 \times 1$ by identity map $\sim$ 

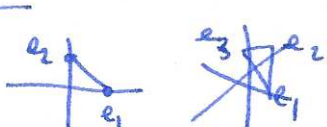
• crush subset: $A \subseteq X$. construct $X/A = X / \sim$ where for all $a, b \in A$, $a \sim b$.

Example $X = \mathbb{R}^n$, $A = \partial \mathbb{R}^n = S^{n-1}$ $\mathbb{R}^n / \partial \mathbb{R}^n \cong S^n$.

Simplicial complexes. Defn an n -simplex $[v_0, \dots, v_n]$ is the convex hull of a collection of $(n+1)$ -points in general position in $\mathbb{R}^d$ for $d \geq n+1$. General position means that the n vectors $v_i - v_0$ are linearly independent.

Examples $[v_0]$. $[v_0, v_1]$. $[v_0, v_1, v_2]$  $[v_0, v_1, v_2, v_3]$  $\dots$

Important convention we remember the order of the vertices so $[v_0, v_1] \neq [v_1, v_0]$.
Defn the faces of $[v_0, \dots, v_n]$ are just spans of subsets $[v_{i_1}, \dots, v_{i_k}]$.

Defn the standard n-simplex is the convex hull of the standard unit vectors $e_1, \dots, e_{n+1}$ in $\mathbb{R}^{n+1}$. Eg:  etc.

we have preferred coordinates called barycentric coordinates $(t_0, t_1, \dots, t_n)$ which satisfy: $\sum_{i=0}^n t_i = 1$ and $t_i \geq 0$ for all i .

Intuition: a simplicial complex is constructed from a disjoint union of simplices by identifying their faces $\leftarrow$ subsimplices.

Example  key property the interior of each ^(sub) simplex is embedded in X .

problem $v_1 \xrightarrow{f} w_0 \xleftarrow{g} v_0 \xrightarrow{h} w_1 \xleftarrow{i} v_1$ $\leftarrow$ this sequence of gluings folds the edge in half.

solution whenever we glue two faces $[v_0, \dots, v_n] \sim [\omega_0, \dots, \omega_n]$ we will use the canonical linear map that preserves the order of the vertices.

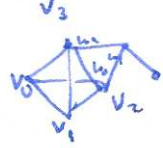
so $[v_0, v_1] \sim [\omega_0, \omega_1]$ allowed $[v_0, v_1] \sim [\omega_1, \omega_0]$ not allowed.

Defn A simplicial complex Δ -complex ~~is a disjoint union of~~ ^{consists of the} following data:

- a list of simplices $\Delta_1^{n_1}, \Delta_2^{n_2}, \dots, \{\Delta_\alpha^{n_\alpha} \mid \alpha \in A\}$.
- a list of face pairings. $\{F_\beta \mid \beta \in B\}$, where each pairing F_β connects k faces of the same dimension. note: the same face may occur in more than one pairing.

The geometric realization of the Δ -complex is the topological space X formed from the disjoint union of the simplices $\bigsqcup \Delta_\alpha^{n_\alpha}$ where the equivalence is given by gluing the faces ^{in F_β} together according to the canonical linear maps.

Examples. $\{[v_0, v_1], [\omega_0, \omega_1]\} = \Delta_\alpha$ $F_\beta = \{[v_0], [\omega_0]\}, \{[v_1], [\omega_1]\}$ 

$\Delta_\alpha = \{[v_0, v_1], [\omega_0, \omega_1]\}$ $F = \{[v_0, \omega_1], [v_1, \omega_0], [v_0, \omega_0], [v_1, \omega_1]\}$ 
 $\Delta = \{[v_0, v_1, v_2, v_3], [v_2, v_3], [\omega_0, \omega_1, \omega_2, \omega_3], [\omega_2, \omega_3], [v_0, \omega_0], [v_1, \omega_1]\}$ 

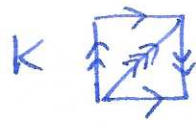
examples $S^1 \times S^1$



$$\Delta = \{ [v_0, v_1, v_2], [w_0, w_1, w_2] \}$$

$$F = \{ [v_0, v_1] \sim [w_0, w_1], [v_1, v_2] \sim [w_1, w_2], [v_0, v_2] \sim [w_0, w_2] \}$$

$\mathbb{R}P^2$
 w/ Δ -complex.



$\mathbb{R}P^2$: $\leftarrow$ works

More general construction cell/ CW -complexes.

- $X^0 \leftarrow$ discrete collection of points
- $X^1 \leftarrow$ take disjoint union of 1-balls B_2^1 and gluing maps $\phi_x: \partial B_2^1 \rightarrow X^0$.
- $X^2 \leftarrow$ take disjoint union of 2-balls B_2^2 and gluing maps $\phi_x: \partial B_2^2 \rightarrow X^1$.
- etc. more flexible, but requires more work to deal with.

examples $S^2 \leftarrow \bigcirc \leftarrow T^2 = S^1 \times S^1$ $\cdot$ $\bigcirc \leftarrow \bigcirc$ glue on disk.

Useful properties of topological spaces

Hausdorff: for all distinct $x, y \in X$, there are open sets U, V s.t. $x \in U, y \in V$ and $U \cap V = \emptyset$.

Separable: X contains a countable dense set.

Compact: every open cover of X has a finite subcover.
 e.g., $(0, 1) \leftarrow$ compact, $(0, 1) \leftarrow$ not compact.

Homotopy recall, X, Y homeomorphic if $\exists$ bijective $f: X \rightarrow Y$ s.t. f, f^{-1} c.f.

Problem: classify topological spaces up to homeomorphism $\leftarrow$ too hard!

Look for weaker notion of equivalence coming from continuous deformations.

Consider: $f: X \rightarrow Y$ what might we mean by a continuously varying family of maps $f_t: X \rightarrow Y$?
 Defn A homotopy is a c.f. map $F: X \times I \rightarrow Y$,

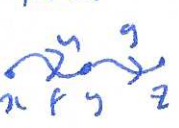
notation with $f_t(x) = f(x, t)$.
 $x \xrightarrow{f_1} f_2$

Example $X = \{pt\}$. $f: \{x\} \rightarrow X$.
 $F: \{x\} \times I \rightarrow X \leftarrow$ i.e. a path.

Defn A path is a c.f. map $f: I \rightarrow X$.

Defn Define an equivalence relation on X by $x \sim y$ if there is a path $f: I \rightarrow X$ s.t. $f_0(x) = x$ and $f_1(x) = y$.
 Ex check this is an equivalence relation.

- reflexive: let $f: I \rightarrow X$, this is a path from x to x so $x \sim x$.
- symmetric: give $f: I \rightarrow X$, a path from $x = f(0)$ to $y = f(1)$
 define $\bar{f}: I \rightarrow X$ by $\bar{f}(t) = f(1-t)$, the reverse path, is a path from $\bar{f}(0) = y$ to $\bar{f}(1) = x$.

- transitive: let f be a path from x to y , and g be a path from y to z

 define $f \cdot g: I \rightarrow X$ by $f \cdot g(t) = \begin{cases} f(2t) & 0 \leq t \leq 1/2 \\ g(2t-1) & 1/2 \leq t \leq 1 \end{cases}$
 this is a path from x to z , so $x \sim y$ and $y \sim z \Rightarrow x \sim z$

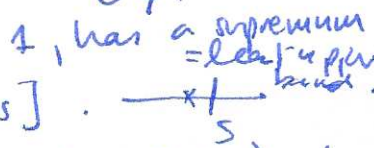
Defn The path components of X are the equivalence classes under this relation.

Remark we have defined our first topological invariant: $\begin{matrix} \text{top} \\ \text{spaces} \end{matrix} \rightarrow \mathbb{N}$
 $X \mapsto \# \text{path components of } X$

Observation if X homeo Y then $\# \text{path components of } X = \# \text{path components of } Y$.

Prop $X = \bigcup X_i$ path components, X_i open and closed.

Prop $S^0 = \{x\} \cup \{y\}$ has two path components.

Proof suppose only one, then there is a path $f: I \rightarrow S^0$ st. $f(0) = x$ and $f(1) = y$
 note $\{x\}, \{y\}$ are open in S^0 (in fact an open cover) so $f^{-1}(\{x\})$ and $f^{-1}(\{y\})$ are open in I , and in fact an open cover. Note $0 \in f^{-1}(\{x\})$ and $1 \in f^{-1}(\{y\})$.
 $f^{-1}(x)$ is a non-empty subset of $[0, 1] = I$, contains 0, but not 1, has a supremum s . Recall, for all $\epsilon > 0$, $\exists t \in f^{-1}(\{x\})$ st. $t \in (s-\epsilon, s]$. 

- suppose $s \in f^{-1}(\{x\}) \leftarrow$ open, so there is a basis set/open interval $(a, b) \subseteq f^{-1}(\{x\})$ st. $s \in (a, b) \subseteq f^{-1}(\{x\})$ but then $\exists s + \epsilon > s$ in $f^{-1}(\{x\})$ so $s \notin f^{-1}(\{x\})$.
- therefore $s \in f^{-1}(\{y\}) \leftarrow$ open, so $\exists$ basis set/open interval st. $s \in (a, b) \subseteq f^{-1}(\{y\})$, but then $s - \epsilon$ is bigger than all elements of $f^{-1}(\{x\})$ so s not sup. $\square$

Remark we have shown that $I = [0, 1]$ is not the disjoint union of two open sets.

Defn X is disconnected if $X = U \cup V$, U, V both open.

Connected vs path connected $\leftarrow$ not the same!

Example.  $\leftarrow$ this is connected but not path connected.

Remark no difference for Δ -complexes, cell complexes.

Application $\mathbb{R}^1 \not\cong \mathbb{R}^2 \leftarrow$ note: there is a bijection from $\mathbb{R}^1$ to $\mathbb{R}^2$.
there is an onto cts map from $\mathbb{R}^1$ to $\mathbb{R}^2$.

Proof sup $f: \mathbb{R}^1 \rightarrow \mathbb{R}^2$ is a homeomorphism. then this gives a homeomorphism

$f: \mathbb{R}^1 \setminus \{0\} \rightarrow \mathbb{R}^2 \setminus f(0)$.  $\leftarrow$ one connected component. ~~#~~ $\square$


 $\leftarrow$ two connected components

Aim: generalise this argument to higher dimensions (homology) ...

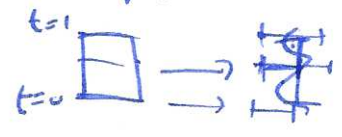
Topological spaces up to homotopy

Defn we say X, Y are homotopy equivalent $X \simeq Y$ if there are maps $X \xrightleftharpoons[f]{g} Y$
such that $gf \simeq \mathbb{I}_X$ and $fg \simeq \mathbb{I}_Y$.

Special case X is contractible if $X \simeq \{x\}$ point

Example $I = [0, 1]$ is contractible. define $F: I \times I \rightarrow I$.
 $(x, t) \mapsto (1-t)x$

then $f_0(x) = F(x, 0) = x = \mathbb{I}_I$.
 $f_1(x) = F(x, 1) = 0$



Exercises show $I^n, \mathbb{R}^n, B^n$ contractible.

(non)-example: $S^0 = \{x\} \sqcup \{y\} \leftarrow$ not contractible.

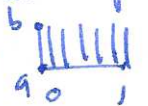
Q: how do we show S^1 not contractible, or any other space for that matter?

variant: retractions. Let $A \subset X$ then X retracts to A is there is a map

$$A \xleftarrow{r} X \quad \text{s.t. } r|_A = \mathbb{I}_A$$

we've shown: $[0, 1]$ retracts to $\{0\}$.

Bad example there is a contractible space X which does not retract to any point

Not so bad example comb space  $\leftarrow$ retracts to a but not to b .

Q: how does # of path components behave under cts maps?

Propn: $f: X \rightarrow Y$ cts, then # of path components of $f(X) \leq$ # of path components of X .

Proof if $\gamma: I \rightarrow X$ is a path, then $f\gamma: I \rightarrow Y$ is a path from $f\gamma(0) = f\gamma(0)$ to $f\gamma(1) = f\gamma(1)$. $\square$

Propⁿ If X is contractible, then $\# \text{path components of } X = 1$.

Proof there is a contraction $F: X \times I \rightarrow X$ s.t. $f_0 = \mathbb{1}_X$, $f_1(x) = x_0 \in X$.

pick $y \in X$, then $f_t(y) = F(y, t)$ is a path from y to x_0 . $\square$.

more generally: Propⁿ If $X \simeq Y$ homotopy equivalent, then they have the same number of path components.

Proof $X \xrightleftharpoons[f]{g} Y$. suppose $a, b \in X$ lie in the same path component, then there is a

path $\gamma: I \rightarrow X$ s.t. $\gamma(0) = a, \gamma(1) = b$, so $f\gamma$ is a path from $f(a)$ to $f(b)$ in Y .

so $f(x_i)$ lies in a single path component of Y . Now suppose $a, b \in X$ lie in different path components, but $f(a), f(b)$ lie in ~~diff~~ same path component of Y . Then $\exists \gamma: I \rightarrow Y$ s.t. $\gamma(0) = f(a)$ and $\gamma(1) = f(b)$. The $g\gamma: I \rightarrow X$ is a path from $g\gamma(0) \neq a$ to $g\gamma(1) \neq b$.

i.e. a path from $g\gamma(0)$ to $g\gamma(1)$. But $g\mathbb{1}_X \simeq \mathbb{1}_X$ so $\exists H: X \times I \rightarrow X$ s.t. $h_0(x) = x$ and $h_1(x) = g\gamma(x)$, so $h_t(a)$ is a path from a to $g\gamma(a)$ so $a \sim g\gamma(a)$ and $h_t(b)$ is a path from b to $g\gamma(b)$ so $b \sim g\gamma(b)$.

$\Rightarrow a, b$ lie in same path component $\square$.

Fundamental group $\leftarrow$ invariant of (path connected) spaces, will help us distinguish more spaces. $(X, x_0) \leftarrow$ space w/ basepoint. $\leadsto \pi_1(X, x_0) \leftarrow$ group.

Groups (quick review). Examples $(\mathbb{Z}, +)$. (non-sing matrices, mult). (permutations).

Defⁿ A group G is a set G , together with a multiplication $G \times G \rightarrow G$ with the following properties:

- identity: $\exists 1 \in G$ s.t. $1g = g1 = g$ for all $g \in G$
- inverses: for all $g \in G$, there is $g^{-1} \in G$ s.t. $gg^{-1} = 1 = g^{-1}g$.
- associativity: for all $g, h, k \in G$ $(gh)k = g(hk)$.

Examples $(\mathbb{Z}, +)$ identity 0, inverse of n is $-n$. $(\mathbb{R}, +)$ identity 0, inverse of x is $-x$. $(\mathbb{R} \setminus \{0\}, \cdot)$ identity 1, inverse of x is $\frac{1}{x}$.

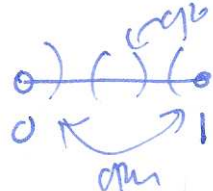
- $SL(2, \mathbb{Z}) \leftarrow$ note $AB \neq BA$ in general, this is a noncommutative / non-abelian group.
- $F_2 =$ free group on two generators: $\langle a, b \rangle$ element: all finite strings in $\{a^{\pm 1}, b^{\pm 1}\}$.
- up to equivalence $uaa^{-1}v \sim uv$ identity element $1 = \emptyset$.
- $ua^{-1}av \sim uv$ multiplication: concatenation of strings.
- $ub^{-1}v \sim uv$ etc.

(4)

relation on X , the quotient top on $\bar{X} = X/\sim$ is.

$X \rightarrow X/\sim = \bar{X}$ $U \subseteq \bar{X}$ is open iff $p^{-1}(U)$ is open in X .

Example $X = [0, 1] \subseteq \mathbb{R}$ induced top. $\circ \text{---} (1]$ open.

$\sim: 0 \sim 1, x \sim x$  $\bar{X} \cong S^1$.

Example Δ -complex.

Example CW-complex.

Groups matrices $\mathbb{R}, \mathbb{Z}, \mathbb{C}$, matrices. : set, w/ operation $+$, $\times$.

Defn: A group G is a set G together with a multiplication $G \times G \rightarrow G$ with the following properties:

identity: $\exists 1 \in G$ s.t. $1g = g1 \quad \forall g \in G$.

invers: $\forall g \in G \exists g^{-1} \in G$ s.t. $g^{-1}g = gg^{-1} = 1$.

associativity: $\forall g, h, k \in G, (gh)k = g(hk)$. matrix.

Examples $(\mathbb{Z}, +)$, $(\mathbb{R}, +)$, $(\mathbb{C}, +)$, $n \times n$ invertible matrices, addition.

Not example $(\mathbb{N}, +)$, $(\mathbb{R}, \times)$.

Examples $(\mathbb{R} \setminus \{0\}, \times)$, $(\mathbb{C} \setminus \{0\}, \times)$, $n \times n$ invertible matrix, matrix multiplication.

Remark. for matrices $AB \neq BA$ in general.

Example Free group F_n . Defn subgroup $H \subseteq G$ subset s.t. if $h \in H$ then $h^{-1} \in H$.

Defn A map $f: G \rightarrow H$ between two groups is a homomorphism (5)
if $\forall g_1, g_2 \in G$, $f(g_1 g_2) = f(g_1) f(g_2)$.

Defn The kernel of f is $\ker(f) = \{ g \in G \mid f(g) = 1_H \in H \}$

Propn $\ker(f)$ is a subgroup of G .

Quotient groups : special case: abelian groups

Defn G is a abelian if $gh = hg \quad \forall g, h \in G$.

warning often write such grps additively.
Let $H \leq G$ abelian, the cosets of H are the sets $gH \leq G$

cosets form a partition of G .

claim cosets $\{gH\}$ form a group, with group operation

$$g_1 H g_2 H = (g_1 g_2) H \leftarrow \text{check well defined}$$

check id: $1H = H$.

$$\text{inverses } (gH)^{-1} = g^{-1}H.$$

associativity $\checkmark$.

Examples $0 \in (\mathbb{Z}, +)$. $\mathbb{Z}/0 = \mathbb{Z}$

$$\mathbb{Z} \leq \mathbb{Z} \quad \mathbb{Z}/\mathbb{Z} = 0.$$

$$2\mathbb{Z} \leq \mathbb{Z} \quad \mathbb{Z}/2\mathbb{Z} = \text{abelian gp w/ 2 elements.}$$

$$n\mathbb{Z} \leq \mathbb{Z} \quad \mathbb{Z}/n\mathbb{Z} = \text{cyclic group w/ } n \text{ elements.}$$

General (non-abelian) case

Defn $N \subseteq G$ is a normal subgroup if $gN = Ng$. ⑥

Defn G/N = coset gN with group $\circ$ $(gN)(hN) = (gh)N$.

Defn A finitely ^{gen} presented group is $\langle g_1, g_2, \dots \mid r_1, r_2, \dots \rangle$
 r_i words in g_i . take F = free gp generated by g_i
 quotient by $N = \langle r_i \rangle$ normal subgroup generated by r_i
 $G = F/N$.

Examples $\mathbb{Z} = \langle a \mid \rangle \cdot \{ \dots, a^{-2}, a^{-1}, 1, a, a^2, \dots \}$.

$\mathbb{Z}^2 = \mathbb{Z} \oplus \mathbb{Z} = \langle a, b \mid ab = ba \rangle \quad \{ a^k b^l \}.$ $a^k b^l = a^{k+j} b^{l+j}$

$\mathbb{Z}/n\mathbb{Z} = \langle a \mid a^n = 1 \rangle$.

$F_n = \langle g_1, \dots, g_n \mid \rangle$.

Problem ① Q: what is $SL(2, \mathbb{Z})$? $A: \langle A, B \mid A^2 = B^2 = I \rangle$.

② Q: ^{is} $\langle g_1, \dots, g_n \mid r_1, \dots, r_k \rangle$ the trivial group

Thm [word] is algorithm to decide this.

We'll (mainly) look at invariants with values in abelian groups

Thm Classification of finitely generated abelian gps
 $G \cong \mathbb{Z}^r \oplus \mathbb{Z}_{p_1} \oplus \mathbb{Z}_{p_2} \oplus \dots \oplus \mathbb{Z}_{p_n}$ p_i not necessarily prime power.

Key fact $\mathbb{Z}_6 \cong \mathbb{Z}_2 \oplus \mathbb{Z}_3$ but $\mathbb{Z}_4 \not\cong \mathbb{Z}_2 \oplus \mathbb{Z}_2$.

Handkypes: motivation: continuous deformations



©

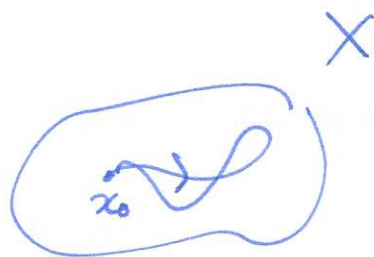
7

Defn A handkype is a ^{cont.} map $F: X \times I \rightarrow Y$.

think: $f_t(x) = F(x, t)$ then f_t is a continuously varying family of maps. handkype equivalence.

Fundamental group $\leftarrow$ first example of algebraic invariant

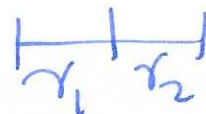
$X \leadsto \pi_1 X$
top space (not nec abelian) group



intuition pick base pt $x_0 \in X$.

consider loop based at x_0 , i.e. map $\gamma: I \rightarrow X$
s.t. $\gamma(0) = \gamma(1) = x_0$.

composites γ_1, γ_2 defn $\gamma_1 \gamma_2: I \rightarrow X$



Q: can we make this a group?

identity: $1: I \rightarrow x_0$.

inverse: $\bar{\gamma}: I \rightarrow x_0$

$$\bar{\gamma}(t) = \gamma(1-t)$$

proof $\overline{\overline{\gamma}} = 1$

not (associativity) $\gamma_1(\gamma_2\gamma_3) \neq \gamma_1(\gamma_2)\gamma_3$

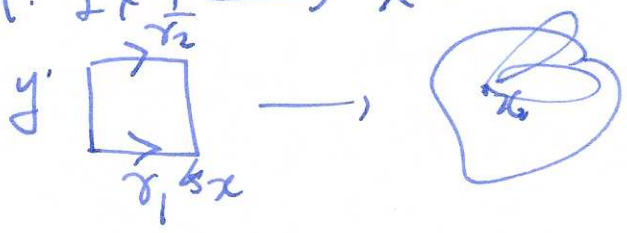


but there are handkype maps (in fact same up to reparametrization).

fix cannot loop up to based homotopy.

we say $\gamma_1 \sim \gamma_2$ if $\exists H: I \times I \rightarrow X$.

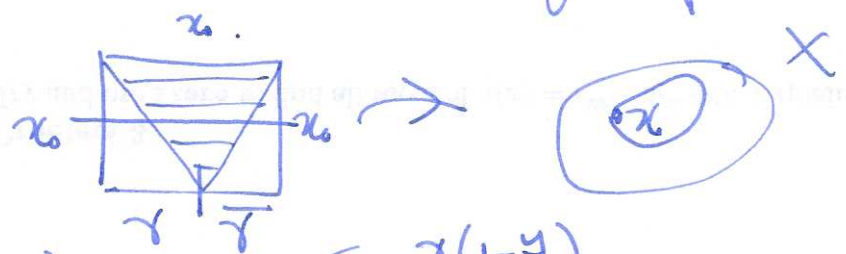
- s.t.
- $H(x_0) = \gamma_1(x)$
 - $H(x_1) = \gamma_2(x)$
 - $H(0, t) = x_0 = H(1, t)$



Defn $\pi_1(X, x_0) \leftarrow$ based loops up to homotopy,
group composition given by path composition of loops

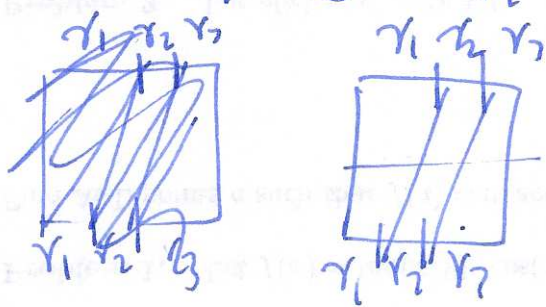
Lemma : check this is a group.

inverses:



idea: $H(x, t) = \begin{cases} \gamma(1-\frac{t}{2}) & t \in [0, 1-\frac{t}{2}] \\ \gamma^{-1}([1-\frac{t}{2}, 1]) & t \in [1-\frac{t}{2}, 1] \end{cases}$

associativity



Example $\{pt\} \mathbb{R}^n \quad \pi_1 = \{1\}$. $\leftarrow$ any contractible space.

$\pi_1(S^1) = \mathbb{Z}$.

$\pi_1(T^2) = \mathbb{Z}^2$ $\leftarrow$ $T^2 = S^1 \times S^1$

$\pi_1(S^2) = \{1\}$ $\pi_1 = \langle a, b, c, d \mid [a, b] = [c, d] \rangle$

Next invariant : simplicial homology.

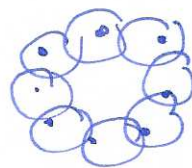
①

Data set : point cloud $X = \{x_i\} \subseteq \mathbb{R}^n$

want : topological space. idea : replace x_i with $B_r(x_i)$

problems ① computing intersection of balls hard in high dim

② what should we choose for r ?



①

Defn Vietoris-Rips complex : - vertices, vertices $X = \{x_i\}$.

$VR_r(X)$.

- edges : connect x_i, x_j if $d(x_i, x_j) < 2r$

- fill in simplices.



Q: why do this? compare with Cech complex :

Defn $C_r(X)$ has vertices : . points in X

. k -simplex $[x_0, x_1, \dots, x_k]$ if $\bigcap_{i=0}^k B_r(x_i) \neq \emptyset$.

↑ This is the 'reverse' operation of the nerve of a cover.

Let $\{U_i\}$ be an ^{open} cover of X , then $N(\{U_i\})$ is a simplicial complex with

- vertices : corresponding to sets U_i

- k -simplices : $[i_0, \dots, i_k]$ if $\bigcap_{j=0}^k U_{i_j} \neq \emptyset$.

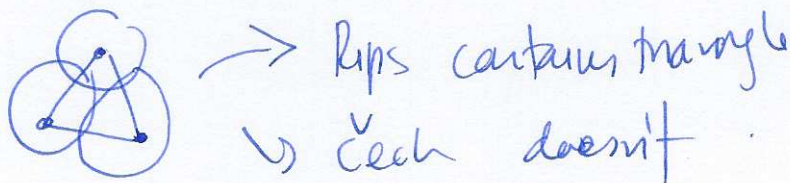
Thm If $\{U_i\}$ is an open cover of X s.t. all non-empty intersections $\bigcap U_{i_j}$ are contractible, then $N(\{U_i\}) \simeq X$.

Corollary $\bigcup_{x \in X} B_r(x) \simeq_{\text{homeo}} |C_r(X, 2r)|$.

Fact $C_\epsilon(X) \subseteq VR_\epsilon(X) \subseteq C_{2\epsilon}(X)$

so $VR_\epsilon(X)$ not nec. homeo. to $UB_\epsilon(X)$ but seems good enough geometric approx in practice.

Example



② What is r ? A: consider all values of r !

Observation if $s < t$ then $VR_s(X) \subseteq VR_t(X)$.

$VR_0(X) = X$ if $d > \text{diam}(X)$, $VR_d(X) = \text{complete graph} / (|X|-1)\text{-simplex}$.

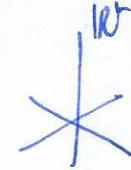
Persistent homology: look for homology groups that last for a long time!

Warning

Q: sanity check if we sample points from a known space, can we recover the space? (up to homology?)

Niggi-Smale-Weinberger Thm: (yes).

start with: compact Riemannian manifold ($\Rightarrow$ smooth metric as manifold), wlog can assume $M \subseteq \mathbb{R}^n$ for some n .

Recall.  Riemannian metric $\leftrightarrow$ smoothly varying choice of inner product at each point.

- gives: angles, length of tangent vectors.

- integrate length of tangent vectors $\leadsto$ get lengths of curves.

- lengths give volumes.  $\delta x \delta y$ are $\delta x \delta y$

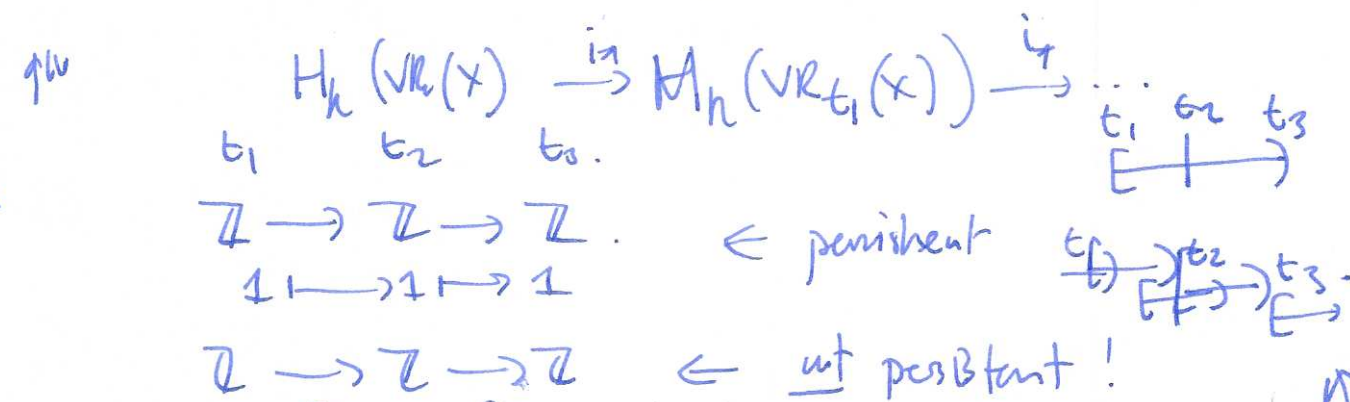
so given $\epsilon > 0$, can work out n s.t. n -points form an ϵ -net with high probability.

problems: need to know $\sup_{x \in X} f(x)$ s.t. tubular neighborhood $N_r(M)$ is embedded in $\mathbb{R}^n$.
 • for $S' \subset \mathbb{R}^2$, #point ~ 6000 .

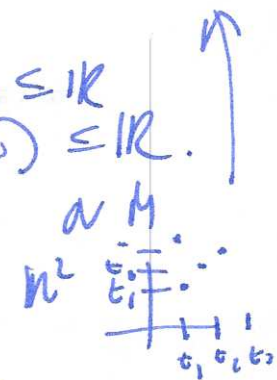
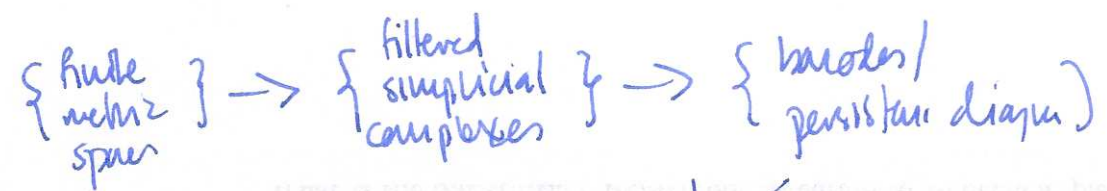
Persistent homology setup $X \subseteq \mathbb{R}^n$ (X, d_X) point cloud.

observation: only finitely many t s.t. $VR_t(X)$ changes.

so get sequence $VR_{t_0}(X) \hookrightarrow VR_{t_1}(X) \hookrightarrow \dots$

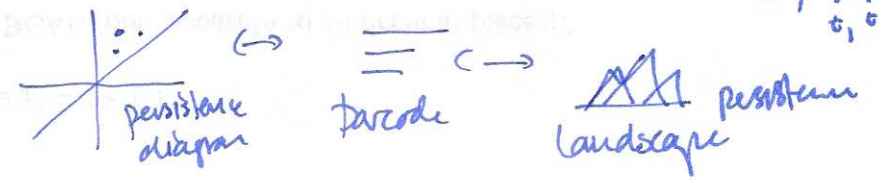


Defn
 $\rightarrow$ barcode: collection of intervals of the form $[x_1, \infty) \subseteq \mathbb{R}$



Examples

Stability



note: Top: top spaces, ϕ maps.
 $(A_k)_k$: groups, homomorphisms

metric spaces? $\leftarrow$ ϕ maps.
 Lipschitz maps: $d(f(u), f(v)) \leq K d(u, v)$.
 (bi)-Lipschitz.
 c-quant-motives.

note: there is a metric on subsets $A, B \subseteq (X, d)$.

Defn Let A, B be non-empty subsets of a metric space (X, d) .
the Hausdorff distance between A and B is

$$d_H(A, B) = \max_{\inf \epsilon > 0} \{ B \subseteq N_\epsilon(A), A \subseteq N_\epsilon(B) \}.$$

Equivalently $d_H(A, B) = \max \left\{ \sup_{a \in A} \inf_{b \in B} d(a, b), \sup_{b \in B} \inf_{a \in A} d(a, b) \right\}.$

Note $d_H(A, B) = 0 \Rightarrow A = B.$

Examples.

Check metric . $d_H(A, R) = d_H(B, A).$

• triangle inequality

Example. $\mathbb{Z} \subseteq \mathbb{R}. \quad d_H(\mathbb{Z}, \mathbb{R}) = 1/2.$

$\mathcal{X} \subseteq (X, d) \quad \epsilon\text{-net}. \quad d_H(\mathcal{X}, T) \leq \epsilon.$

Q: when are two metric spaces close?

Problem $(X, d_X), (Y, d_Y)$ not nec. contained in common metric space.

Defn An isometric embedding $f: (X, d_X) \rightarrow (Z, d_Z)$ is an injective map s.t. $f(d_X(x, y)) = d_Z(f(x), f(y))$.

Defn Gromov-Hausdorff distance $(X, d_X), (Y, d_Y).$

$$d_{GH}(X, Y) = \inf_{\substack{f_1: X \rightarrow Z \\ f_2: Y \rightarrow Z}} d_H(X, Y)$$

where the infimum is taken over all (Z, f_1, f_2) where $f_1: X \rightarrow Z$ and $f_2: Y \rightarrow Z$ are isometric embeddings into a metric space Z .

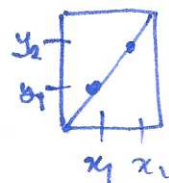
Note X, Y embed in $X \times Y$ with product metric.

Q: how to compute this?

Fact let R be a correspondence between X and Y , i.e. $R \subseteq X \times Y$

$(\forall x \in X) \exists (x, y) \in R$ and $(\forall y \in Y) \exists (x, y) \in R$.

then
$$d_{GH}(X, Y) = \inf_{R \subseteq X_1 \times X_2} \frac{1}{2} \sup_{\substack{(x_1, y_1) \in R \\ (x_2, y_2) \in R}} |d_X(x_1, x_2) - d_Y(y_1, y_2)|$$



↑ i.e. d_{GH} measures maximum distortion of best matching between two metric spaces.

Coord. point cloud $X \subseteq \mathbb{R}^n \leftarrow$ perturb points $\in$ to get X'

$$d_{GH}(X, X') \leq \epsilon.$$

Bnd. point cloud $X \subseteq \mathbb{R}^n$, add new point distance K away

$$\text{then } d_{GH}(X, X') \geq K/2.$$

Q: d_{GH} : stable for small perturbations

wt stable for random noise for many authors

Recall.

$$X \leadsto PH(X) \leadsto \text{barcode}.$$

↑ Q: when are two barcodes close?

Bottleneck distance

given two intervals $[a_1, b_1)$, $[a_2, b_2)$ define

$$d_b([a_1, b_1), [a_2, b_2)) = \max\{|a_1 - a_2|, |b_1 - b_2|\}.$$

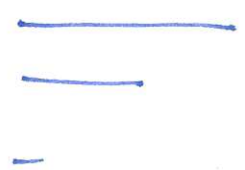
for ϕ , define $d_\infty([a,b], \phi) = \frac{|a-b|}{2}$.

Let B_1 and B_2 be barcodes, ~~and~~ $|B_1| \leq |B_2|$.
 and let $\phi: A_1 \rightarrow A_2$ be a bijection from $A_1 \subseteq B_1$ to $A_2 \subseteq B_2$.
 extend B_1, B_2 by adding ϕ , and match $B_1 \setminus A_1$ with $\phi \in B_2$
 or $B_2 \setminus A_2$ with $\phi \in B_1$.

Defn let B_1, B_2 be barcodes.

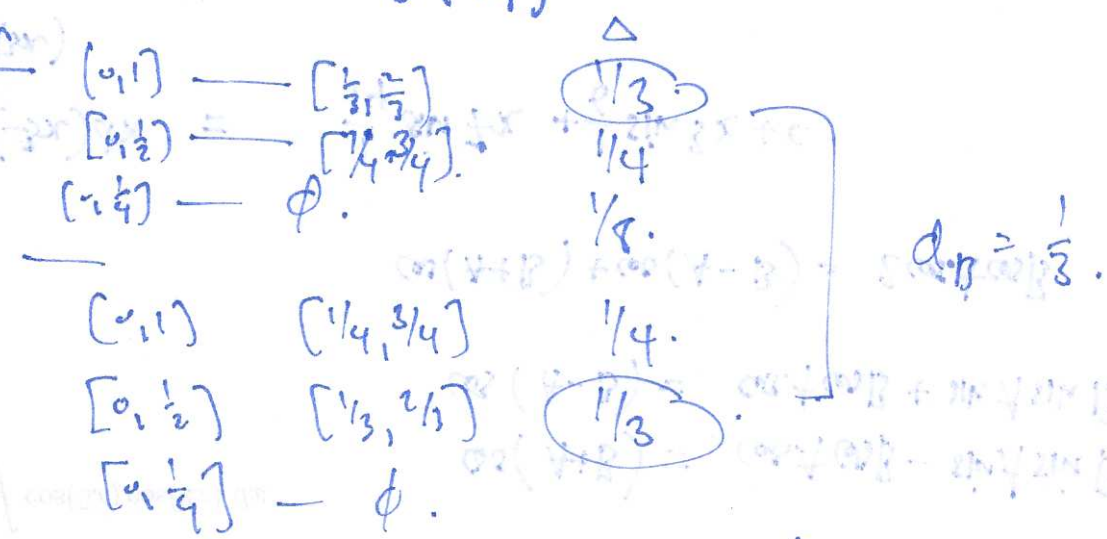
$$d_B(B_1, B_2) = \inf_{\phi} \sup_{z \in B_1} d_\infty(z, \phi(z))$$

i.e. this takes the worst discrepancy in ~~any~~ ^{the best} matching.

Example. B_1  $[0, 1]$
 $[0, \frac{1}{2}]$
 $[0, \frac{1}{4}]$

B_2  $[\frac{1}{3}, \frac{2}{3}]$
 $[\frac{1}{4}, \frac{3}{4}]$

example of match



note adding lots of small bars doesn't change d_B .

do examples of lp metrics on $\mathbb{R}^2$.

more generally this is the $p=0$ ^{sup norm} version of the Wasserstein metric:

$$d_W(B_1, B_2) = \left(\inf_{\phi} \sum_{z \in B_1} (d_{\infty}(z, \phi(z)))^p \right)^{1/p}$$

↑
sensitive to lots of small small bars.

Thm (Ch-S-E-H). Let $(X, d_X), (Y, d_Y)$ be finite metric spaces. Then for all $\epsilon \geq 0$.

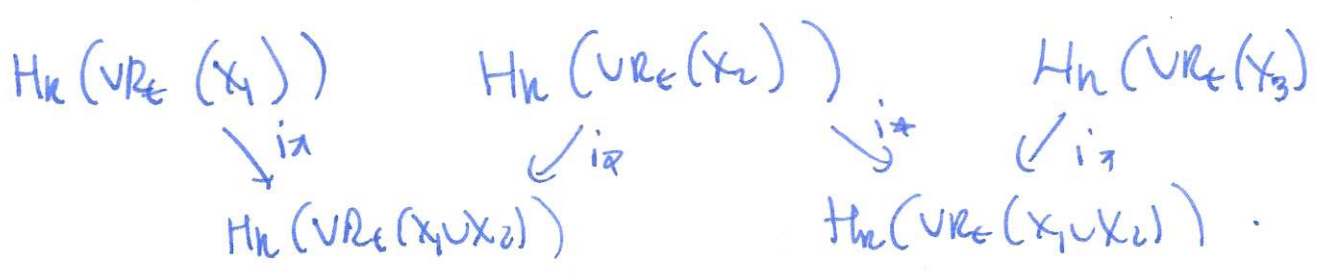
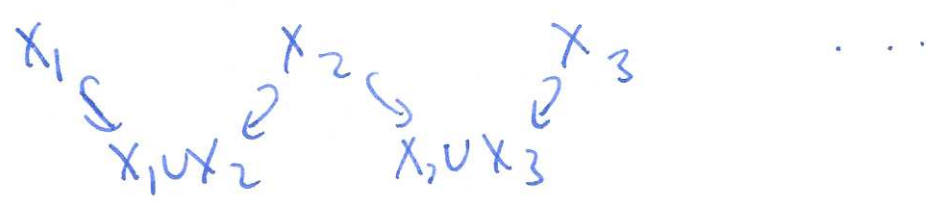
$$d_B(PH_n(VR_{\epsilon}(X)), PH_n(VR_{\epsilon}(Y))) \leq d_{GH}(X, Y)$$

Remark: same holds for Wasserstein + Čech complex.

— perturbation stability, not outlier stability

Zigzag persistence

take samples X_i from fixed metric space (X, d_X)



Q: what does consistency mean here?

(15)

$$m_1 \quad H_n(x_1) \quad H_n(x_2) \quad m_3$$

$$\swarrow \text{def } \searrow \nearrow$$

$$H_n(x_1 \cup x_2) \xrightarrow{f} m_2$$

$$f_*(m_1) = m_2 - f_*(m_3)$$

Remark this makes sense for things like

$$x_1 \searrow x_2 \quad x_3 \rightarrow x_4 \rightarrow x_5 \searrow x_6 \quad x_7 \rightarrow \dots \text{ etc.}$$

Defn A zigzag submodule N of a zigzag module M of shape S , is a zigmodule of the same shape S s.t. each $N_i \subseteq M_i$ and $f: N_i \rightarrow N_j$ is the restriction of $f: M_i \rightarrow M_j$.

Lemma Any zigzag module of shape S can be written as a direct sum of indecomposables, this is unique up to permutation.

Defn An interval zigzag module of shape S is a zigzag module $x_1 \xrightarrow{f_1} x_2 \xrightarrow{f_2} \dots \xrightarrow{f_{k-1}} x_k$ with and for $a \leq b$

$$\begin{cases} x_i = \# & \text{if } 1 \leq a \leq i \leq b < k \\ x_i = \cup & \text{else} \end{cases} \quad \text{and the maps between the } \# \text{'s are identity}$$

Thm The indecomposable zigzag modules in the interval
zigzag modules.

(17)

→ gives something like a persistent barcode.

Computational issues $|X| = n$ Δ^n has 2^n
 subsimplices

solutions: - compute H_0, H_1, H_2
 n -simplex $\uparrow \binom{n}{2} \sim n^2 \leftarrow n^3$

- take smaller samples.

↑ need some probability theory

Metric measure spaces:

In order to sample a space, we need a measure on the
space

Canonical example: $X \subseteq \mathbb{R}^n$ finite set of points w/ counting
measure.

Problem if X is infinite, can't usually assign
measures to all subsets $U \subseteq X$, so measure theory makes
choose special subset of sets called a σ -algebra $\Sigma \subseteq \mathcal{P}(X)$

Properties: $\emptyset \in \Sigma$
countable unions
complements.

Def: A measurable space is
a set X and a σ -algebra Σ
 (X, Σ) .

Example Borel σ -algebra: $(X, \mathcal{T})$ topological space

is the σ -algebra generated by open sets.

(18)

Fact Every topological space is a measurable space w/ Borel σ -algebra s.t. any open (and closed) subset is measurable.

Ex if X compact, can def $\Sigma = \mathcal{P}(X)$ (any subset)
 $\mathbb{R}^n$, standard top

X simplified compact, standard top.

Defn ~~Notation~~ Defn A measure μ on (X, Σ) is a function $\mu: \Sigma \rightarrow \mathbb{R}^{\geq 0}$ s.t. $\mu(\emptyset) = 0$
countable unions work

if $\{X_i\}_{i \in I} \subseteq \Sigma$ w/ $X_i \cap X_j = \emptyset \forall i, j \in I$ then
countable

$$\mu(\bigcup X_i) = \sum \mu(X_i)$$

Examples

- counting measure on a discrete set
- Lebesgue measure on $\mathbb{R}^n$

Defn (X, Σ, μ) is a probability measure if $\mu(X) = 1$

Example - $X =$ finite set $x_1, \dots, x_n$, counting measure
so $\mu(x_i) = \frac{1}{n}$

• $X =$ finite set $x_1, \dots, x_n$
prob wgt $p_1, \dots, p_n$ $p_i \geq 0$ $\sum p_i = 1$

• $X = [0,1]$ Lebesgue measure $\mu([a,b]) = b-a$ (19)
 $a \leq b$.

• $X = [0,1] \times [0,1]$ Lebesgue measure $\mu([a,b] \times [c,d]) = (b-a)(d-c)$.

ex. Conditional measures Let $A \in (X, \mathcal{F})$ w/ $\mu(A) > 0$

then (A, μ_A) is a prob spa w/ if $B \subseteq A$

$$\mu_A(B) = \frac{\mu(B)}{\mu(A)}$$

probability density function.

Ex: $(\mathbb{R}, \mathcal{B}) = ([0,1], \text{Lebesgue measure})$

w/ $f: [0,1] \rightarrow \mathbb{R}$ s.t. $\mu_f(A) = \int_A f d\mu$.

pull back/measures.

$f: X \rightarrow (Y, \mu)$

$f: (X, \mu) \rightarrow Y$

f measurable.

$\mu_f(A) = \mu(f(A))$

$\mu_f(A) = \mu(f^{-1}(A))$

(mean $f: (X, \Sigma_X) \rightarrow (Y, \Sigma_Y)$
s.t. $f^{-1}(A) \in \Sigma_X$ for $A \in \Sigma_Y$.)

example

Gaussian measure on $\mathbb{R}$:

$\frac{1}{\sqrt{2\pi}} e^{-x^2}$

$\int_{-\infty}^{\infty} f(x) dx = 1$

Defn A metric measure space is a probability measure
is a ^{metric} space (X, dx) (complete, separable) w/ a Borel
probability measure μ_X s.t (i.e. $\mu_X(X) = 1$).

Defn the support of μ_X is a subset $\mathcal{Y} \subseteq X$ s.t.
for every open set U of $\mathcal{Y}$ $\mu_X(U) > 0$.

Example μ_X : X finite, counting measure
• $(\{0,1\}, \lambda)$

Product measures & (X_1, μ_1) (X_2, μ_2) .

then if $A_1 \subseteq X_1$ $A_2 \subseteq X_2$ $\mu_1 \otimes \mu_2 (A_1 \times A_2) = \mu_1(A_1) \mu_2(A_2)$

finite ~~countable~~ products $(\prod X_i, \mu_i)$.

$\mu_i(A_i \times$

Warning countable products (simplicial case $(X, \mu)^{\mathbb{N}}$).

as a set $X^{\mathbb{N}} = (x_1, x_2, \dots)$.

Q: What is $\Sigma_{X^{\mathbb{N}}}$? A: generated by cylinder sets

$X \times X \times \dots \times A \times \dots$

$\uparrow$ $A \subseteq X$ means in i th coordinate.

$$\text{so } \mu^N(X \times X \cdots \times A \times X \cdots X) = \mu(A) \quad (2)$$

Conventions

Stability [CS-E-H].

k-Morse Lemma. [ELZ].

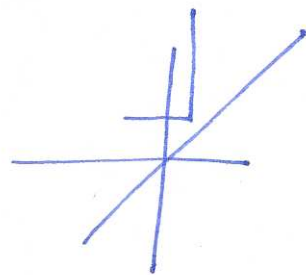
f tame function $x < y$ regular points.

multiplicity in upper left quadrant is

$$\#(D(f) \cap Q_x^y) = \beta_x^y$$

↑
persistence
diagram

↑
quadrant



Defn X top space, $f: X \rightarrow \mathbb{R}$.

$a \in \mathbb{R}$ is a homological critical value if $\exists k$ s.t. $\forall \epsilon > 0$

$H_k(f^{-1}(-\infty, a-\epsilon]) \rightarrow H_k(f^{-1}(-\infty, a+\epsilon])$ induced by inclusion is not an isomorphism.

$$F_x = H_k(f^{-1}(-\infty, x])$$

for $x < y$ $f_x^y: F_x \rightarrow F_y$ map induced by inclusion.

$$F_x^y = \inf f_x^y$$

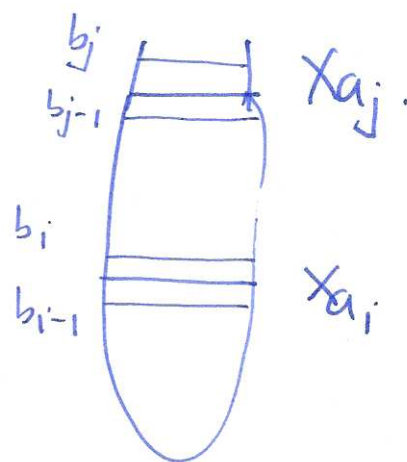
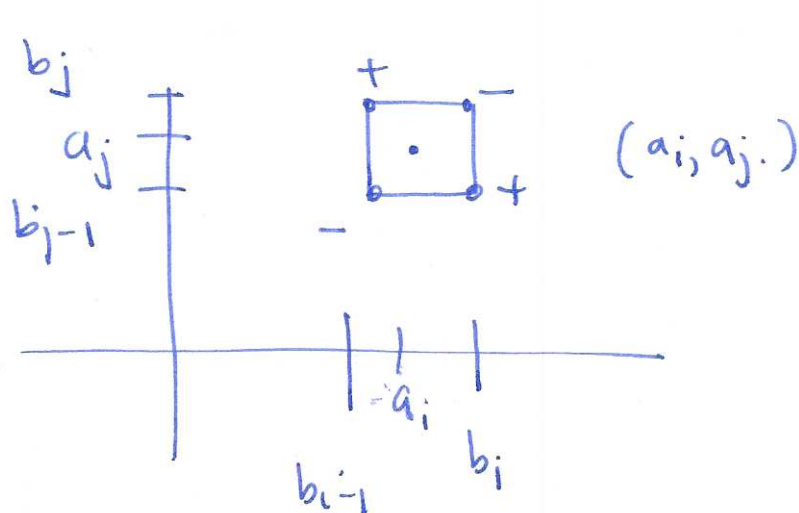
(a_i) critical values.

(b_i) interleaved sequence. $b_{i-1} < a_i < b_i$

$$b_{-1} = a_0 = -\infty. \quad b_{n+1} = a_{n+1} = \infty.$$

multiplicity of (a_i, a_j) is $i < j$.

$$\mu_i^j = \beta_{b_{i-1}}^{b_j} - \beta_{b_i}^{b_j} + \beta_{b_i}^{b_{j-1}} - \beta_{b_{i-1}}^{b_{j-1}}.$$



$$\underbrace{\beta_{b_{i-1}}^{b_j} - \beta_{b_{i-1}}^{b_{j-1}}}_{\geq 0} - \underbrace{\left(\beta_{b_i}^{b_j} - \beta_{b_i}^{b_{j-1}} \right)}_{\geq 0}.$$

of classes

born in $[b_{i-1}, b_i]$ which die in $[b_{j-1}, b_j]$.