## Homework set 5

Forcing in Set Theory, Fall 2024 Dr. Gunter Fuchs

Submit by 11/12/24

(4 P.)

## Problem 1 (4 points):

Let  $\mathbb{P}$  be a partial order for which  $cl(\mathbb{P})$ , the least ordinal  $\alpha$  such that there is a weakly decreasing sequence  $\langle p_{\xi} | \xi < \alpha \rangle$  of conditions in  $\mathbb{P}$  that does not have a lower bound, exists. Observe that  $\mathbb{P}$  is  $cl(\mathbb{P})$ -closed, that  $cl(\mathbb{P})$  is the largest ordinal with this property, and that  $cl(\mathbb{P})$  is a regular cardinal.

## Problem 2 (16 points):

Let  $\mathbb{P}$  be a partial order. Let  $c.c.(\mathbb{P})$  be the least cardinal  $\kappa$  such that  $\mathbb{P}$  satisfies the  $\kappa$ -c.c.

- 1. Let  $D \subseteq \mathbb{P}$  be dense. Then  $c.c.(\mathbb{P}) = c.c.(\mathbb{P}|D)$ , where  $\mathbb{P}|D$  is the restriction of the ordering of  $\mathbb{P}$  to D. (2 P.)
- 2. For  $p \in \mathbb{P}$ , let  $\mathbb{P}_p = \langle |\mathbb{P}_p|, \leq_{\mathbb{P}} \cap |\mathbb{P}_p| \times |\mathbb{P}_p| \rangle$ , where  $|\mathbb{P}_p| = \{q \mid q \leq_{\mathbb{P}} p\}$ . Say that p is *stable* (in  $\mathbb{P}$ ), if for all  $q \leq p$ :

$$c.c.(\mathbb{P}_q) = c.c.(\mathbb{P}_p)$$

Show that the set S consisting of all conditions stable in  $\mathbb{P}$  is dense and open in  $\mathbb{P}$ , and that every  $p \in S$  is stable in  $\mathbb{P} \upharpoonright S$ . (3 P.)

3. Let A and B be antichains in  $\mathbb{P}$ . Say that A refines B if the following hold:

- (a) for every  $a \in A$  there is a  $b \in B$  such that  $a \leq b$ .
- (b) for every  $b \in B$  there is an  $a \in A$  such that  $a \leq b$ .

Show that in this case,  $\operatorname{card}(B) \leq \operatorname{card}(A)$ .

Show further that if A and B are maximal antichains in  $\mathbb{P}$ , then they have a common refinement. (3 P.)

- 4. Show that c.c.(P) is either finite or uncountable. (4 P.) *Hint:* Assuming there are arbitrarily large finite antichains in P, you have to show that there is an infinite one. If the set of atoms of P is dense, then choose a maximal antichain A in P which consists of atoms. The cardinality of A is then ≥ the cardinality of any maximal antichain of P, by part 3. If the atoms of P are not dense in P, then pick a condition below which there is no atom, and construct directly an infinite antichain below it.
- 5. Show that, assuming  $c.c.(\mathbb{P})$  is infinite, it is regular.

*Hint:* By part 4,  $\lambda := c.c.(\mathbb{P})$  is uncountable, and by parts 1 and 2, one may assume that every condition in  $\mathbb{P}$  is stable. Assuming towards a contradiction that  $\lambda$  is singular with cofinality  $\kappa < \lambda$ , say, fix a maximal antichain A of cardinality  $\geq \kappa$ . Using part 3, show that  $C = \{c.c.(\mathbb{P}_p) \mid p \in A\}$  is unbounded in  $\lambda$  (case 1) or that  $\lambda \in C$  (case 2). In each case, you can construct an antichain of cardinality  $\lambda$  refining A.

## Problem 3 (5 points):

Let  $\kappa$  be a cardinal, and let  $\mathbb{P}$  be a poset in which the set of atoms is not dense. Show that  $\mathbb{P}$  is not both  $\kappa$ -closed and  $\kappa$ -c.c.

*Hint:* Otherwise, working below a condition that has no atom below it, construct an antichain of size  $\kappa$ , using the  $\kappa$ -closure of  $\mathbb{P}$  to keep the construction going.