

# Homework set 1

Forcing in Set Theory, Fall 2024  
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Submit by 9/24/24

Fix a countable transitive model  $M$  of ZFC.

**Problem 1 (5 points):**

Let  $\mathbb{P} \in M$  be a partial order. Show that the following properties are equivalent for a set  $D \subseteq \mathbb{P}$  and a condition  $p \in \mathbb{P}$ :

1.  $D$  is predense below  $p$  (i.e., for every  $q \leq p$ , there is an  $r \in D$  such that  $r \parallel q$ .)
2. Whenever  $G$  is  $\mathbb{P}$ -generic over  $M$  and  $p \in G$ , then  $G \cap D \neq \emptyset$ .

**Problem 2 (10 points):**

Let  $\mathbb{P} \in M$ , and set

$$\text{gen} = \{G \mid G \text{ is } (M, \mathbb{P})\text{-generic}\},$$

and for  $p \in \mathbb{P}$  let

$$\text{gen}_p = \{G \mid p \in G \in \text{gen}\}.$$

1. Say that an *antichain* in  $\mathbb{P}$  is a set of pairwise incompatible conditions. Note that any antichain can be extended to a maximal one, and show that if  $A \subseteq \mathbb{P}$  is a maximal antichain, then

$$\text{gen} = \bigcup_{p \in A} \text{gen}_p$$

(the disjoint union).

(2 P.)

2. Show that  $p \leq q \implies \text{gen}_p \subseteq \text{gen}_q$ .

(2 P.)

3. Show that

$$p \parallel q \iff \text{gen}_p \cap \text{gen}_q \neq \emptyset.$$

(2 P.)

4. Say that  $\mathbb{P}$  is *separative* iff for all  $p, q \in \mathbb{P}$ :

$$p \not\leq q \implies \exists r \leq p \quad r \perp q.$$

Show that  $\mathbb{P}$  is separative iff the reverse implication of 2 holds.

(4 P.)

**Problem 3 (10 points):**

Let  $\mathbb{P}$  be a partial order. For  $A \subseteq \mathbb{P}$  and  $p \in \mathbb{P}$ , write  $p \perp A$  to express that  $p \perp a$ , for every  $a \in A$ . Set:

$$\perp A = \{p \mid p \perp A\}.$$

Show for  $X \subseteq \mathbb{P}$ :

1.  $\perp X$  is downward closed, i.e., if  $p \leq q \in \perp X$ , then  $p \in \perp X$ . (1 P.)
2.  $X \cap \perp X = \emptyset$ . (1 P.)
3.  $X \subseteq \perp \perp X$ . (1 P.)
4.  $X \subseteq Y \subseteq \mathbb{P} \implies \perp Y \subseteq \perp X$ . (1 P.)
5.  $\perp X = \perp \perp \perp X$ . (1 P.)
6. The map  $C : \mathcal{P}(\mathbb{P}) \longrightarrow \mathcal{P}(\mathbb{P})$  defined by

$$C(X) = \perp \perp X$$

is a hull operator, i.e.,  $X \subseteq C(X)$ ,  $X \subseteq Y \implies C(X) \subseteq C(Y)$ , and  $C(C(X)) = C(X)$ .

(1 P.)

7. Let  $\mathbb{B}$  be the partial order consisting of all  $X \subseteq \mathbb{P}$  with  $C(X) = X$ , ordered by inclusion, and let  $\mathbb{B}^+$  be the restriction of  $\mathbb{B}$  to  $\{X \in \mathbb{B} \mid X \neq \emptyset\}$ . Check: if  $X, Y \in \mathbb{B}$ , then  $X \cap Y \in \mathbb{B}$ . In particular, if  $X, Y \in \mathbb{B}^+$ , then  $X \perp^{\mathbb{B}^+} Y \iff X \cap Y = \emptyset$ . (1 P.)

8. Let  $i : \mathbb{P} \longrightarrow \mathbb{B}^+$  be defined by

$$i(p) = C(\{p\}).$$

Show that  $i$  is a dense embedding, that is:

$$(a) \quad p \leq q \implies i(p) \subseteq i(q), \quad (1 \text{ P.})$$

$$(b) \quad p \perp q \implies i(p) \perp^{\mathbb{B}^+} i(q), \quad (1 \text{ P.})$$

$$(c) \quad \text{the range of } i \text{ is dense in } \mathbb{B}^+. \quad (1 \text{ P.})$$

Total score:

(25 P.)